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CENTURY CITY	Date:	December 6, 2004	Must Be Sent By:					
HOUSTON LONDON	To: Examiner Marlon T. Fletcher		Fax No:	(703) 872-9306				
LOS ANGÉLÉS NEW YORK NORTHERN VIRGINIA	Company:	United States Patent and Trademark Office	Phone No:	(571) 272-2063				
ORANGE COUNTY	From:	Mark R. Kendrick	Phone No:	213.488.7253				
SACRAMENTO SAN DIEGO	User No:	14238	C/M No:	51270-307011				
SAN DIEGO-NORTH COUNTY	Comments:							
SAN FRANCISCO SILICON VALLEY STAMFORD SYDNEY	Application Serial No. 10/722,254 Filed: November 25, 2003 Title: Musical Tone Reproducing Apparatus							
TOKYO WASHINGTON DC	To Examiner Fletcher:							
·	Please find attached our Response to Notice of Non-Compliant Amendment that we filed for the above-identified application today, Monday, December 6, 2004. Please assist us in advancing the prosecution on this patent application.							
	Sincerel	у,						
Confidentiality Note: The documents accompanying this fac simile transmission may contain confidential information which is legally privileged. The information is intended only for the use of the intended only for the use of the intended recipient, or the person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in this transmission is strictly PROHIBITED. If you have received this transmission in error, please immediately notify us by telephone and mall the original transmission to us. Thank you.	Mark Ko Registra							
	If you have not properly received this fax, please call (213) 488-7577. Thank you.							
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PATENT

Attorney's Docket 51270-307011 Client Reference: H7699US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re PATENT APPLICATION of: YASUYUKI MURAKI

Confirmation Number: 6058

DEC 0 6 2004

Group Art Unit: 2837

Filed: November 25, 2003

Application No.: 10/722,254

Examiner: MARLON R. FLETCHER

For: Musical Tone Reproducing Apparatus and Portable Terminal Apparatus

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/RESPONSE TRANSMITTAL

Transmitted herewith is an amendment/response for this application.

FEES

The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated as shown below:

REI A	LAIMS MAINING AFTER ENDMENT	PREV	EST NO. TOUSLY D FOR		SENT	RA	TE		ADDIT. F <u>EE</u>	
TOTAL	10	_	20	=	0	× \$	18.00	=	\$	0.00
IOIAL	10						10.00		Ψ	0.00
INDEP.	1	_	3	=	0.	\$ ·	88.00	=	\$	0.00
FIRST PRESENTATION OF MULTIPLE DEP. +										
CLAIM						\$	300.00	=	\$	0.00
TOTAL ADDITIONAL CLAIM FEE									\$	0.00

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T-095 P.003/014 F-224

Attorney's Docket 51270-307011 Client Reference: H7699US

Dec-06-2004 04:48pm

PATENT

FEE PAYMENT

Authorization is hereby made to charge the amount of \$0.00 to Deposit Account No. 161805. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: December 6, 2004
PILLSBURY WINTHROP LLP
725 South Figueroa Street
Suite 2800
Los Angeles, CA 90017-5406
213 488-7253

MARK R., KENDRICK Reg. No. 48,468

CERTIFICATION UNDER 37 C.F.R. III 1.8 and/or 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: December 6, 2004

MARK R. KENDRICK

Signature

(type or print name of person certifying)

^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under U.1.8 continues to be taken into account in determining fineliness. See U.1.703(). Consider "Express Mail Post Office to Addressee" (U.1.10) or facsimile transmission (U.1.6(d)) for the reply to be accorded the earliest postible filling date for patent term adjustment calculations.

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T-095 P.004/014 F-224

PATENT 51270-307011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 2837 in re application of: Examiner: FLETCHER Y. MURAKI I hereby certify that this correspondence is being deposited with the United States Serial No: 10/722,254 Postal Service with sufficient postage as first class mail in an envelope addressed to: Filed: November 25, 2003 Commissioner for Patents, P.O. Box 1450. For: MUSICAL TONE REPRODUCING Alexandria, VA 22313-1450, on APPARATUS AND PORTABLE TERMINAL December 6, 2004 **APPARATUS** Date of Deposit Mark R. Kendrick, Reg. No. 48,468

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

7 12/6/2004
Signature Date

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Examiner Fletcher:

In response to the November 12, 2004 Notice of Non-Compliant Amendment, applicant attaches an e-mail from the Ms. Terry J. Dey, Deputy Commissioner for Patent Examination Policy, identifying that we do not have to list the claims unless an amendment has been made to the claims.

In regard to our Response filed November 3, 2004, the applicant did not amend the claims. Thus, the applicant does not have to list the claims.

We have attached a copy of the November 3, 2004 response for your convenience.

PATENT 51270-307011

Applicant believes that the foregoing remarks place the application in condition for allowance, and a favorable action is respectfully requested. If for any reason the Examiner finds the application other than in condition for allowance, the Examiner is requested to call either of the undersigned attorneys at the Los Angeles telephone number (213) 488-7100 to discuss the steps necessary for placing the application in condition for allowance should the Examiner believe that such a telephone conference would advance prosecution of the application.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Date: December 6, 2004

Mark R. Kendrick

Registration No. 48,468 Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800 Los Angeles, CA 90017-5406 Telephone: (213) 488-7100

Facsimile: (213) 629-1033

Kendrick, Mark R.

From:

Patent Practice [PatentPractice@USPTO.GOV]

Sent

Friday, December 03, 2004 10:16 AM

To:

Kendrick, Mark R.

Subject: RE: Amendment Practice - Question

Dear Mr. Kendrick,

No complete claim listing is required when applicant is merely submitted arguments or an election in response to an office action. A claim listing is only required when amendments are being made to the claims. You may forward this email to the legal instruments examiner if you like. She can also contact our office directly by telephone if she has questions about 37 CFR 1.121. The number is 571-272-7703.

I hope this helps,

Ms. Terry J. Dey Office of Patent Legal Administration Deputy Commissioner for Patent Examination Policy United States Patent and Trademark Office

-----Original Message--

From: Kendrick, Mark R. [mailto:mkendrick@pillsburywinthrop.com]

Sent: Thursday, December 02, 2004 2:16 PM

To: Patent Practice

Subject: RE: Amendment Practice - Question

Dear Ms. Dey,

Thank you for your assistance.

The application number is 10/722,254 (the application is Muraki and the Filing Date is 11/25/03). I did not provide it in the first place because I did not want to seem confrontational to the Legal Instruments Examiner. I just wanted to make sure I understood the rule.

Mark

-----Original Message-----

From: Patent Practice [mailto:PatentPractice@USPTO.GOV]

Sent: Thursday, December 02, 2004 11:06 AM

To: Kendrick, Mark R.

Subject: RE: Amendment Practice - Question

Thank you for your inquiry.

Please provide the application number so that we may look into this matter.

Ms. Terry J. Dey

T-095 P.007/014 F-224

Office of Patent Legal Administration **Deputy Commissioner for Patent Examination Policy** United States Patent and Trademark Office

----Original Message-----

From: Kendrick, Mark R. [mailto:mkendrick@pillsburywinthrop.com]

Sent: Thursday, December 02, 2004 12:46 PM

To: Patent Practice

Subject: Amendment Practice - Question

From-PILLSBURY WINTHROP LLP LA#2

Message Directed to Gena Jones, Joe Narcavge, and Elizabeth Dougherty:

I am a patent attorney. On a case that we are responsible for, we filed a response with no amendment to the claims. The response was labeled as a "Response." According to our reading of the change in amendment practice, if we do not make amendments to the claims, then we do not have to list or set forth the claims in the response (and thus we did not). The Legal Instruments Examiner said we filed a non-compliant amendment because in the "Remarks" section of our response, we set forth a claim (in order to distinguish it over the prior art) and thus we should have listed all of the claims.

Please help me clear this up and tell us what the proper rules are.

Mark Kendrick Reg. No. 48,468

Mark R. Kendrick Pillsbury Winthrop, LLP

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